



Standards of Conduct for Suppliers, Contractors and Subcontractors

1. COMPROMISE

- At CMI we constantly strive to operate through processes that seek the satisfaction of our customers and continuous improvement, maintaining safe working conditions, ensuring the occupational health of our employees, of due care of our environment and being respectful of the law, and promoting compliance with it and the commitments we make.
- Likewise, our efforts include our suppliers, contractors, and subcontractors, with whom we promote a long-term relationship, based on our REIR values (Responsibility, Excellence, Integrity and Respect) of our Code of Ethics, in strict compliance of local laws, regulations, and internal rules.

2. GENERAL PURPOSE

To establish minimal conduct rules to promote and guarantee that CMI's suppliers, contractors, and subcontractors develop their working activities in compliance with the Law and our Corporate REIR Values.

3. SCOPE

It applies to all suppliers with a commercial relationship with Corporación Multi-Inversiones (CMI) or are in the process of being suppliers.

4. REFERENCE DOCUMENTS

- I. Corporate Code of Ethics (REIR)
- II. Suppliers, contractors, and subcontractors Compliance Certificate (Annex I)
- III. Occupational Health and Security Manual for Suppliers (229-2014) for Multi Proyectos
- IV. Secure Management for Energy's Suppliers

5. GOVERNANCE OF THIS DOCUMENT

6. OWNERSHIP OF THIS DOCUMENT

Purchases of each Business Unit. As owners, are responsible for:

- Communicate to suppliers which have a relationship with CMI, the Standards of Conduct for Suppliers, Contractors and Subcontractors.
- Report / fill out risks and opportunities of this process to the Purchases forum and to Corporate Compliance so that together they can review or adjust the rules.
- Provide Corporate Compliance with any report, complaint or claim from suppliers associated with the breach of this document.

7. GENERAL GUIDELINES

PT.1 This document is based and is completely in alliance with the Corporate Code of Ethics REIR, which is the framework reference for the expected behaviors of any collaborator and supplier, contractor, or subcontractor of CMI.

PT.2 It is necessary that employees, suppliers, contractors, and subcontractors agree with the same corporate values of Responsibility, Excellence, Integrity and Respect -REIR-.

PT.3 Communication between employees, suppliers, contractors, and subcontractors must be open, direct and effective in all areas and according to the guidelines provided by the Purchases team of each Business Unit.

PT.4 The Purchase Area, is responsible of ensuring that previously to formalize/begin any commercial

relationship with a supplier/contractor, it must know and certify that it comprehends and accepts the guidelines contained in these rules (signature in the **Certificate of Compliance for Suppliers, Contractors and Subcontractors** – Annex I)

PT.5 Likewise, the Purchase Area of the Group will transfer to the contractor, the Code of Ethics of the CMI Corporate Values with the purpose that it understands them, be adhered to them and agrees to comply with it during the development of the project or commercial relationship.

PT.6 The supplier, contractor or subcontractor is responsible of complying with the compromises established in the Certificate of Compliance for Suppliers, Contractors and Subcontractors – Annex I)

The contractor must use the complaint and claims channel established by CMI ("**CMI Te Escucha**"- www.cmiteescucha.com) to address any breach of these regulations, the law, corporate values, or agreements established between the parties and assist the cases which are related to them, providing them the follow-up and corresponding conclusion.

PT.7 CMI will make independent and aleatory audits to verify that the suppliers, contractors, and subcontractors comply with this document and the **certificate** and reserves the right to end an existing commercial relationship before a negative of acceptance.

PT.8 The compliance certificate for suppliers, contractors, and sub-contractors (Annex I) will be valid from the signature thereto and should be renewed when it expires.

PT. 9 The non-compliance of this document will be a matter to consider in the performance evaluation and continuity with the supplier.

PT.10 In case of doubts, the supplier will engage with the corresponding UEN Purchase BP.

ANNEX 1

CERTIFICATE OF COMPLIANCE FOR SUPPLIERS, CONTRACTORS AND SUBCONTRACTORS	
Version 102021	
Information of Suppliers, Contractors and Sub-Contractors	
Name and Corporate name of supplier:	
Number of National Tax Registry (Name of the company you are registering):	
Tax Domicile of the Business (Name of the company you are registering):	
Vendor Scope at CMI:	
Brief Description of the Service/Product offered by CMI:	
Has a valid agreement with CMI?	
Expiration Date of the Agreement (if applicable)	
DECLARATION	
First Declaration:	
I have received copy of the Code of Ethics of CMI. And of the following documents when applicable, for: -Multi-Projectos- Security Manual and Occupational Health for Contractors (229-2014) -Energy- Secure Administration for Energy Contractors	
Second Declaration:	
I have read and understood the provisions of the Code of Ethics, therefore on behalf of the entity I represent, to begin the relationship with CMI, I hereby adhere and agree to its compliance, which will be shared with collaborators, employees, managers, and any other person who is a part of said entity.	
Third Declaration:	
The entity I represent has no Conflict of Interest either real or apparent with CMI or with any of its related entities, therefore I declare that I have signed the corresponding declaration.	
Fourth Declaration:	
The entity I represent agrees to comply with the provisions of the Standards of Conduct for Suppliers, Contractors and Subcontractors, the Occupational Health and Safety Standards applicable to the territory where the services are provided, and the CMI Code of Ethics, regarding:	
<ul style="list-style-type: none"> a. Respect the Values of Responsibility, Excellence, Integrity, Respect (REIR). b. Comply with legal, regulatory, and applicable permits rules (for example, quality, environment, occupational safety, labor legislation, finances, intellectual property, others). c. Comply with what is established in the contracts, agreements, accords, purchase orders or other contractual documentation if they do not contravene the laws and REIR Values. d. Compete fairly and ethically to obtain business from CMI and conduct such business in accordance with the REIR Code. e. Maintain Commercial Records related to the Contract (financial and non-financial) in such a way that they are true and auditable. f. Comply with the corresponding non-disclosure agreements. g. Comply with Integrity standards, especially those relating to anti-corruption, anti-bribery, prevention of money laundering and terrorist financing, anti-fraud, and others. h. Refrain from making or receiving improper payments or gifts that could compromise an ethical environment. i. Refrain from acting on behalf of CMI or its subsidiaries, except in duly authorized cases. j. Attend and comply with the indications of the Occupational Safety area and CMI brigades. k. Encourage diversity and inclusion in staff and offer a workplace free from discrimination, harassment, or any other form of abuse. l. Report any situation of Conflict of Interest, unethical behavior in relation to CMI, and its related entities, as well as its occupational health and safety regulations at "CMI te escucha" (www.cmiteescucha.com). 	
Fifth Declaration:	
I accept that CMI has the power to carry out random reviews regarding the previous statements, for which I am committed to sharing these standards within my organization, and that both the Managements and the people in charge of ensuring compliance attend the training that is necessary, imparted by CMI, either virtual or in person.	
ACCEPTANCE	
This document is part of the auto-evaluation of suppliers, contractors and subcontractors, therefore the information hereby established is subject to investigation on behalf of CMI and its related entities.	
Name of the owner/legal representatives:	
Number of Tax Identification Number:	
Signature:	
Date of Signature(*):	
*The document will be valid for 6 years starting from the date of signature.	